



Department
of Commerce

NEWS RELEASE

John R. Kasich, Governor

Andre T. Porter, Director
Department of Commerce

FOR IMMEDIATE RELEASE
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OHIO DIVISION OF SECURITIES ISSUES SUMMARY OF MAY 2014 DIVISION ORDERS

The Ohio Department of Commerce Division of Securities today released the below summary of the division orders issued by the Division in May, 2014. Additional information is available by contacting the Division at (614) 644-7381.

****INFORMATION RELEASE****

May 1, 2014 – May 31, 2014

DIVISION ORDERS

ORDER

NO.	NAME OF ENTITY	DATE	TYPE OF ORDER
14-013	Curtis L. Lockett, III; Jacksonville, North Carolina	5/16/2014	Cease and Desist Order
14-014	Scarsdale Equities, LLC CRD No. 134602; New York, New York	5/16/2014	Cease and Desist Order
14-015	Gregory Lunar Merrick CRD No. 2933448; SICOR Securities, Inc. CRD No. 16195; Dayton, Ohio	5/23/2014	Notice of Opportunity for Hearing; Notice of Intent to Suspend or Revoke Ohio Investment Adviser Representative License of Gregory Lunar Merrick; Notice of Intent to Suspend or Revoke Ohio Investment Adviser Representative License of SICOR Securities, Inc.; Notice of Intent to Issue Cease and Desist Order

ORDER

NO.	NAME OF ENTITY	DATE	TYPE OF ORDER
14-016	Jewell Jackson OIL and Gas, LLC; William Jewell, Jr.; Charley Anthony Jackson III; William Jewell IV; Paintsville, Kentucky	5/23/2014	Cease and Desist Order

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Before investing, the Department of Commerce’s Division of Securities encourages Ohioans to call the Division’s Investor Protection Hotline toll free at 1-877-683-7841 to ask:

- Are the brokerage firm and salesperson licensed to sell securities in Ohio?
- Have any enforcement actions been taken against them?
- Has the security been properly registered with the Division of Securities?