



Department
of Commerce

NEWS RELEASE

John R. Kasich, Governor

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Department of Commerce**

FOR IMMEDIATE RELEASE

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Miami County Man Sentenced for Securities Crimes

*Jeremy Moser sentenced to three years of community control
after paying restitution of \$10,000 to the victim.*

Columbus, Ohio - Following a criminal referral by the Ohio Department of Commerce - Division of Securities, an indictment and a guilty plea, Miami County Judge Jeannine N. Pratt on Monday sentenced Jeremy Moser to three years of community control after Moser paid full restitution in the amount of \$10,000 to his victim.

If the terms of his community control are violated, Moser could face up to 18 months in prison on each of the three criminal conviction counts of attempted securities fraud, attempted unregistered sale of a security and attempted misrepresentation during the sale of a security.

Judge Pratt further sentenced Moser to complete 100 hours of community service, ordered him to complete the course "Thinking for a Change," and to pay court costs and probation fees.

"It is great to see the investor receive full restitution in this case, a rare occurrence for these types of cases in Ohio," said Ohio Securities Commissioner Andrea Seidt. "Oftentimes, once the money is gone, it's gone for good."

During January of 2015, Moser solicited and received \$10,000 from an Ohio resident to invest in a startup business, Integrated Tech Solutions (ITS), based in part on misrepresentations that ITS was affiliated with an established business. ITS was never incorporated and the investor's funds were used for Moser's personal expenses.

This case was prosecuted by the Office of the Miami County Prosecutor, Anthony E. Kendell.

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About the Ohio Division of Securities

The Division of Securities administers the Ohio Securities Act by licensing securities salespersons, dealers, investment advisers, and investment adviser representatives. The Division also registers securities offered for sale to Ohioans. Additionally, the Division investigates alleged violations of the Ohio Securities Act and enforces its provisions through administrative actions, civil injunctive actions and criminal prosecutions.

To avoid potential financial fraud, investors are encouraged to call the Division's Investor Protection Hotline at 877-683-7841 before they invest money and ask:

- Is the brokerage firm and salesperson licensed to sell securities in Ohio?
- Have any enforcement actions been taken against them?
- Has the security been properly registered with the Division of Securities?